I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below

Date:

Attorney Docket No. 100727-56 / Heraeus 408

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT

Sebastian VOGT et al.

SERIAL NO.

10/600,556

CUSTOMER NO. :

27384

**FILED** 

June 20, 2003

**FOR** 

PHARMACEUTICAL PREPARATION, METHOD FOR ITS

PRODUCTION AS WELL AS ITS USE

ART UNIT

1623

**EXAMINER** 

Elli Peselev

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

## INFORMATION DISCLOSURE STATEMENT

SIR:

Pursuant to 37 CFR §§ 1.56, 1.97 and 1.98, Applicants respectfully request that the Examiner consider the references listed on the attached Form PTO/SB/08.

## I. Timeliness, Fees and Certifications in lieu of Fees

A. This information disclosure statement is being filed within three months of the filing date of the application, or within three months of entry into the national stage, or before the mailing of a first Office Action on the merits. Pursuant to 37 CFR § 1.97(b), consideration of this information disclosure statement does not require a fee or a statement under 37 CFR §

- 1.97(e). However, should the Assistant Commissioner determine that a fee is, in fact, due, the Assistant Commissioner is hereby authorized to charge the fee to Deposit Account No. 14-1263.  $\boxtimes$ B. This information disclosure statement is being filed after the period in A above, but before the mailing of either a final action or a notice of allowance. Pursuant to 37 CFR § 1.97(c), consideration of this information disclosure statement requires a fee or a statement under 37 CFR § 1.97(e): |X|1. The Assistant Commissioner is hereby authorized to charge the fee set forth in 37 CFR § 1.17(p) to Deposit Account No. 14-1263. 2. Applicants hereby state that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 3. Applicants hereby state that no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in this information disclosure statement was known to any individual designated in 37 CFR § 1.56 more than three months prior to the filing of this information disclosure statement. C. This information disclosure statement is being filed after the period specified in B above, but on or before the payment of the issue fee. Pursuant to 37 CFR § 1.97(d), consideration of this information disclosure statement requires a petition, which Applicants hereby request, and payment of the petition fee, which is set forth in 37 CFR § 1.17(i), and which the Assistant Commissioner is hereby authorized to charge to Deposit Account No. 14-1263. Consideration of this information disclosure statement also requires a statement under 37
  - 1. Applicants hereby state that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent

CFR § 1.97(e):

	office in a counterpart foreign application not more than three months prior to the filing									
	of the information disclosure statement.									
		2.	Applicants hereby state that no item of information contained in this							
	information disclosure statement was cited in a communication from a foreign part office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in									
	information disclosure statement was known to any individual designated in 37 C 1.56 more than three months prior to the filing of this information disclosure state									
II.	Copies of Listed References									
X	A.	Copies	s of all references listed on the attached Form PTO/SB/08 are being supp	plied						
Copies	of U.S	. patent	ts are not included pursuant to Pre-OG Notice dated July 11, 2003.							
	B.	Copies	s of all references listed on the attached Form PTO/SB/08 have already	been						
supplie	ed durin	g the p	prosecution of prior application Serial No, filed, from which	n the						
present	t applica	ation cl	laims priority pursuant to 35 USC § 120. Therefore, pursuant to 37 CFI	R §						
1.98(d)	), copie:	s of the	e references listed on the attached Form PTO/SB/08 are not now being							
supplie	ed.									
	C.	This a	application is a PCT national stage application, all references listed on the	he						
attache	d Form	PTO/S	SB/08 were cited in the international search report, and PCT/DO/EO/90	13						
indicat	es that l	both the	e international search report and the copies of the references listed on the	ne						
attache	d Form	PTO/S	SB/08 are in this national stage file. Therefore, copies of the references							
listed o	on the a	ttached	Form PTO/SB/08 are not now being supplied.							
III.	Concise Statement of Relevance									
	A.	All ref	ferences listed on the attached Form PTO/SB/08 are in the English lang	uage,						
and, th	erefore,	, a conc	cise statement of relevance is not required.							

	B.	All references listed on the attached Form PTO/SB/08 are referred to in the
specifi	cation a	nd/or were cited in the international search report, and a copy of that search report,
which	indicate	es the degree of relevance found by the Search Authority, is attached.
	The Ex	caminer will note that English language counterparts or Abstracts of non-English
langua	ge refer	ences are also enclosed, as follows:
	C.	All references listed on the attached Form PTO/SB/08 were cited in the search
report	issued b	by the Patent Office, and an English-language version of that search report,
which	indicate	s the degree of relevance found by that Patent Office, is attached.
	D.	This application is a DCT national store application all references listed as the
		This application is a PCT national stage application, all references listed on the
attache	d Form	PTO/SB/08 were cited in the international search report, and a copy of that search
report,	which i	indicates the degree of relevance found by the International Search Authority, is
attache	ed.	
	E.	All listed on the attached Form PTO/SB/08 were cited during the prosecution of
the pri	or annli	cation indicated above under II.B.
the pri	or uppin	auton marcarca above under n.b.
IV.	Additi	onal Information
	A.	In addition to the references listed on the attached Form PTO/SB/08, Applicants
		o the attention of the Examiner the following abandoned or co-pending U.S. patent
applica	itions:	
[Pursu	ant to 37	7 CFR § 1.98(a)(2)(iii), copies of these applications are not being submitted.]
	B.	In addition of the references listed on the attached Form PTO/SB/08, Applicants
wish to	bring t	o the attention of the Examiner the information provided on the attached sheet.
	·	million of the Engineer the information provided on the attached sheet.

Consideration of the foregoing in relation to this application is respectfully requested.

Respectfully submitted,

NORRIS MOLAUGHLIN & MARCUS, P.A.

By

Kurt G. Briscoe

Attorney for Applicant(s)

Reg. No. 33,141

875 Third Avenue - 18<sup>th</sup> Floor New York, New York 10022

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Substitute for for	rm PTO-	1449			Application Number			10/600,556			
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			U.S.	. PATE	NT DOCUM	ENTS					
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	К	G. RENARD, et al.; "Comparative study of a collagen corneal shield and a subconjuctival injection at the end of cataract surgery", Journal of Cataract Refract. Surg. 1988, Vol. 14, pages 492-495									
	L	Copy of second German Office Action									
	М										
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). <sup>2</sup>see Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>for Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

The collection of information is required by 37 CFR 1.197 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.